



Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KOREN ET AL. Examiner: UNKNOWN  
Serial No.: 09/872,702 Group Art Unit: 1632  
Filed: JUNE 1, 2001 Docket: 11669.72US01  
Due Date: OCTOBER 15, 2001  
Title: IDENTIFICATION AND MODIFICATION OF IMMUNODOMINANT EPITOPES IN POLYPEPTIDES

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on September 20, 2001.

By: *Ashley A. Boelboom*

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- Transmittal Sheet in duplicate containing Certificate of Mailing
- Preliminary Amendment and Version with Markings to Show Changes Made
- Signed Combined Declaration and Power of Attorney
- Check(s) in the amount of \$130.00 for missing parts surcharge
- Computer readable form of Sequence Listing. Applicants state that the paper copy form of the Sequence Listing section of the present application, and the computer readable form submitted herewith, are the same.
- Other: Notice to File Missing Parts of Nonprovisional Application - Part 2
- Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: *Katherine M. Kowalchyk*  
Name: Katherine M. Kowalchyk  
Reg. No.: 36,848  
KKowalchyk:PSTkaw





S/N 09/872,702

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	KOREN ET AL.	Examiner:	UNKNOWN
Serial No.:	09/872,702	Group Art Unit:	1632
Filed:	JUNE 1, 2001	Docket No.:	11669.72US01
Title:	IDENTIFICATION AND MODIFICATION OF IMMUNODOMINANT EPITOPEs IN POLYPEPTIDES		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on September 20, 2001.

By: Sheryl A. Boerboom  
Name: Sheryl A. Boerboom

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION AND CERTIFICATE REGARDING SEQUENCE LISTING

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This paper is being filed in response to the Examiner's communication mailed August 15, 2001. In response to the Notice to File Missing Parts of Nonprovisional Application and in accordance with the requirements of 37 C.F.R. § 1.821 - § 1.825, Applicants enclose herewith a computer readable form of the Sequence Listing. In addition, a paper copy of the Sequence Listing is also provided. Please insert the paper copy into the file after the Executed Oath or Declaration, as required by 37 C.F.R. § 1.77(a)(15). Applicants hereby state that the computer readable form and the paper copy of the Sequence Listing enclosed do not include new matter. Applicants note that in SEQ ID NO: 13 , a signal sequence is identified as one of the features of the human thrombopoietin amino acid sequence. The sequence of human thromboein is a well known sequence and this feature is a feature of the sequence as published in WO 95/18858. Thus, Applicants submit that identification of this signal sequence does not represent new matter.

Pursuant to the Examiner's request, also enclosed is a copy of the Notice to File Missing Parts of Nonprovisional Application with the sequence listing rules.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, Minnesota 55402-0903  
(612) 332-5300

Date: Sept. 20, 2001

Katherine M Kowalchyk  
Katherine M. Kowalchyk  
Reg. No. 36,848  
KMK:PSTkaw





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

W/5

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,702	06/01/2001	Eugen Koren	11669.72US01

23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

**CONFIRMATION NO. 9131**

**FORMALITIES LETTER**



\*OC00000006429355\*

Date Mailed: 08/15/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

10/01/2001 TGEDAMU1 00000035 09872702

**FILED UNDER 37 CFR 1.53(b)**

01 FC:105

130.00 OP

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE